## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Koichi HORIKAWA

Title:

MAC ADDRESS NOTIFICATION METHOD IN MPOA SYSTEMS

AND MPOA SERVER FOR THE SAME

Appl. No.:

To be assigned

Filing Date:

July 12, 2001

Examiner:

To be assigned

Art Unit:

To be assigned

## UNDER 37 CFR §1.56

Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

## RELEVANCE OF EACH DOCUMENT

Documents A4 and A5 relate to an address resolving device.

Document A6 relates to a packet filtering system

Document A7 relates to a router device and set method for a transmission route.

Document A8 relates to an inter-network connecting device.

Document A1 is a counterpart to document A4. Document A2 is a counterpart to document A5 and document A3 is a counterpart to document A6.

English translations of the foreign-language documents are not readily available. However, the absence of such translations does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609). English language abstracts are provided herewith.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Atty. Dkt. No. 040447-0236

LYLE KIMMS Reg. No. 34079

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

David A. Blumenthal Attorney for Applicant

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Date <u>July 12, 2001</u>

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Page 1 of 1 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE 040447-0236 To be assigned APPLICANT INFORMATION DISCLOSURE CITATION Koichi HORIKAWA **FILING DATE GROUP ART UNIT** Unassigne (Use several sheets if necessary) July 12, 2001 **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER DOCUMENT** SUB-REF DATE NAME **CLASS** INITIAL NUMBER **CLASS** APPROPRIATE **A1** 5,909,446 06/01/1999 Horikawa et al. 370 469 6,047,329 04/04/2000 Horikawa et al. 709 A2 238 6,172,991 B1 01/09/2001 Mori 370 474 **A3 FOREIGN PATENT DOCUMENTS** TRANSLATION **DOCUMENT** SUB-**REF** DATE COUNTRY CLASS **NUMBER** CLASS YES NO 2845207 03/06/1998 Japan A4 Abst. 2845208 10/30/1998 Japan Abst. **A5** 3000968 11/12/1999 Japan Abst. A6 11-289345 10/19/1999 Japan **A7** Abst. **A8** 11-215156 08/06/1999 Japan Abst. OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next

communication to applicant.